

# **Congenital Heart Disease**

## **– Genetic Aspects –**

**Hyang-Ok, Woo**

**Department of Pediatrics  
Gyeongsang National University  
College of Medicine  
Jinju, Korea**

# Congenital Heart Disease (CHD)

- A complex mixture of environmental and genetic factors
- Due to the multifactoral nature of the disease, the etiologies of CHD are largely unknown.
- A heterogenous group, caused in some cases by single-gene or chromosomal mechanism and in others by exposure to teratogens

# Congenital Heart Disease (CHD)

- A frequency of about four to eight cases/1,000 births (one in every 100 infants each year)
- Often occur in the setting of multiple congenital anomalies :
  - ✓ abnormal facial features
  - ✓ limb anomalies
  - ✓ other organ malformations
  - ✓ developmental abnormalities
  - ✓ growth abnormalities

# Genetic Basis of CHD

- **Advanced** cytogenetic techniques => detect **subtle rearrangements in chromosomes**
- Molecular instruments such as linkage analysis and positional cloning => **identify genes** causing Mendelian monogenic syndromes with CHDs

# Genetic Basis of CHD

- CHD are going to **live to adulthood** and may have **the opportunity to reproduce**.
- For the clinician caring for a child with CHD, it is very important to determine whether there is **an underlying genetic pattern** (eg, deletions, duplications, or mutations)

# Current genetic techniques for evaluation of CHDs

- A number of genetic tests that can assist the clinician in diagnosing genetic alterations in the child with CHD
  - ✓ Cytogenetic techniques
  - ✓ Fluorescence in situ hybridization (FISH)
  - ✓ DNA mutation analysis

# Chromosome analysis

- **Standard chromosome analysis** : revealed chromosomal aberration in **8% to 13%** of neonates with **CHD**
- **CHD** : **At least 30%** of all children with chromosomal abnormalities (nearly 100%, as in trisomy 18)
- ✓ **An important part** in children with **various types** of **CHD** of medical evaluation

# Chromosome analysis

- Standard metaphase karyotype (450 to 550 bands), especially those of chromosome number (7 to 14 days)
- High-resolution banding, in prometaphase (550 to 850 bands) : more sensitive test (up to 3 weeks)  
=> better defines chromosomal structural abnormalities (duplications, translocations, and interstitial or terminal deletions)

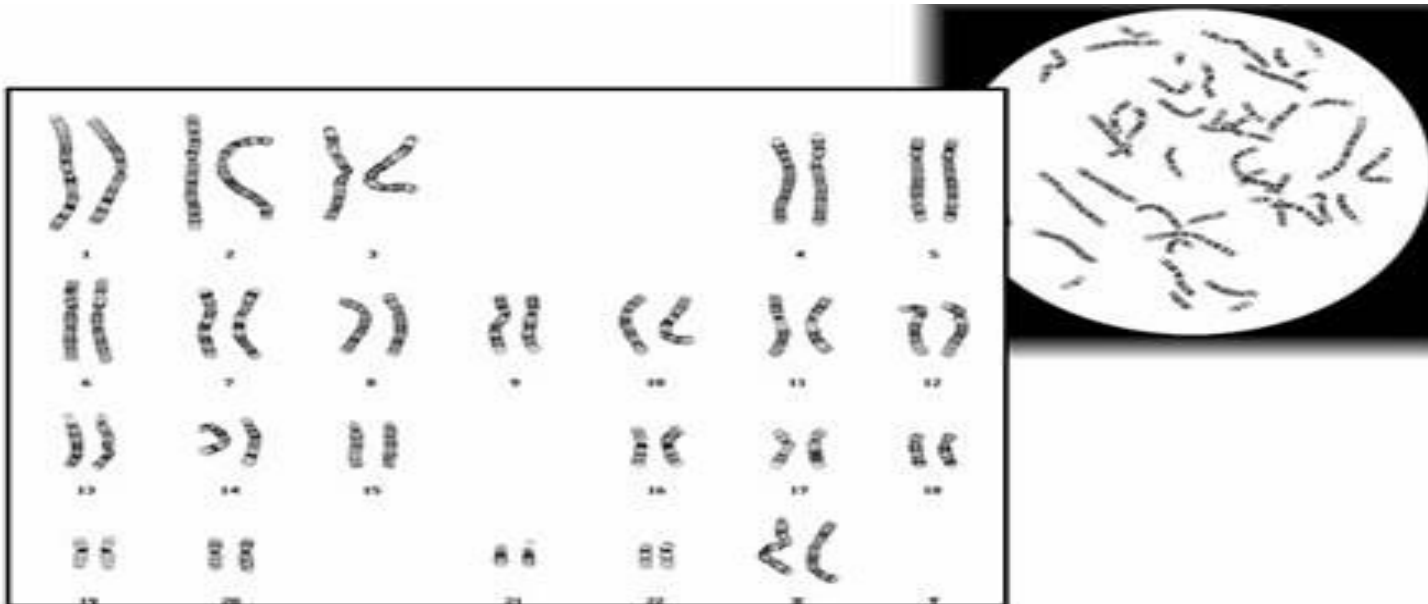


# Chromosome analysis

- The standard karyotype, G-banding : the staining technique most commonly used in the clinical cytogenetics laboratory, metaphases
  - => GTG-banding (G-banding with trypsin and Giemsa)
- Specimens : P.P. blood lymphocytes, cord blood, skin fibroblasts, amniotic fluid, chorionic villi, and bone marrow

# Chromosome analysis

- A light microscope and the images acquired by a digital camera for computer analysis
- Using specialized software, **investigators pair the chromosomes** according to size, centromere position, and banding pattern, to produce a karyotype.

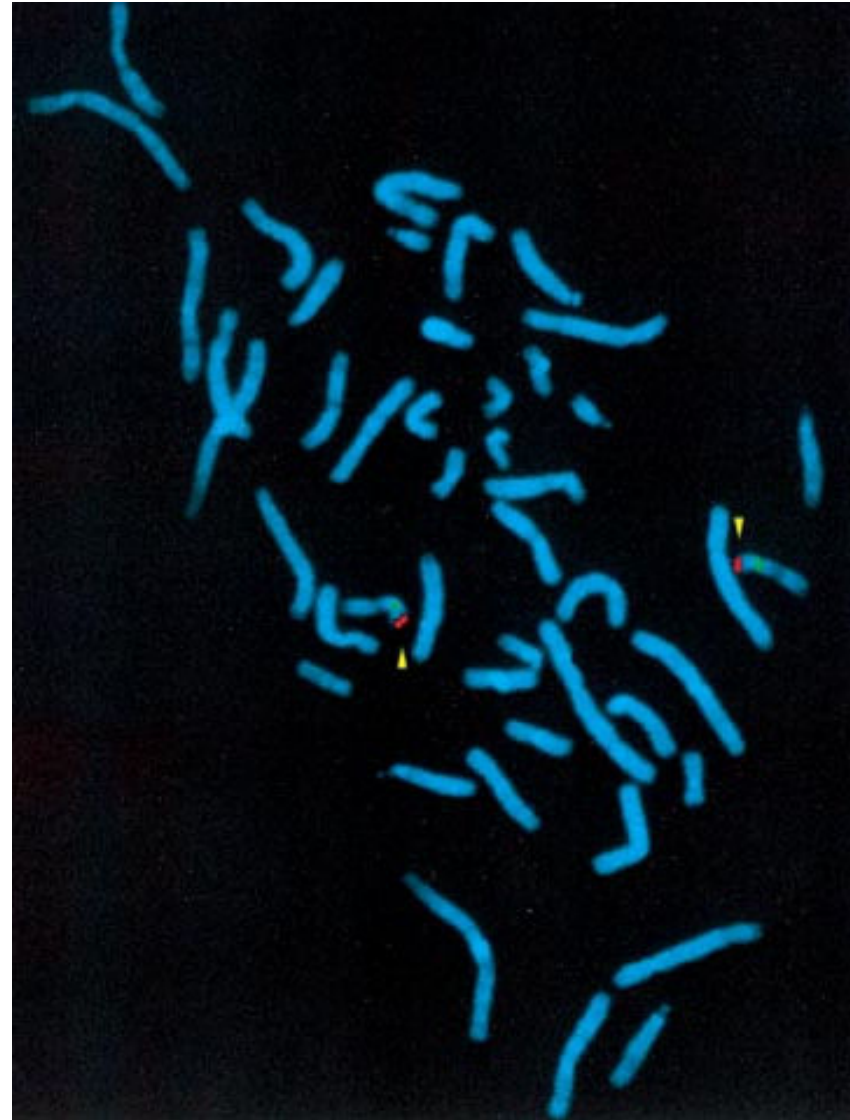


# FISH technology

- Molecular cytogenetic technique : uses **fluorescently labeled DNA sequences** (gene, locus, loci) in metaphase chromosomes
- A specific location in the genome ~ determine the presence or absence of these sequences
- **Detect microdeletions** : too small (*ie*, < 3 to 4 Mb) to be seen by GTG-banding.(esp, normal karyotypes by GTG-banding.)

# FISH Analysis

- Chromosomes are stained blue with a dye such as DAPI (4',6-diamidino-2-phenylindole dihydrochloride hydrate) for visualization.
- Fluorescent label :  
fluorescein isothiocyanate (green)  
or rhodamine (red)



# Telomere analysis by subtelomere FISH

- Tiny deletions, duplications, or subtle translocations involving the most distal ends of each chromosome (telomeres) : quite difficult to detect by standard or high-resolution karyotype techniques.
- Newly developed fluorescent DNA probes for many interstitial chromosomal regions now provide the ability to detect abnormalities that involve the subtelomere-telomere regions (subtelomere FISH).

# DNA Mutation Analysis

- **Mutation analysis** : identifies changes in the coding sequence of the gene, including **small deletions, insertions, or substitutions of nucleotides** (that alter the encoded amino acid and consequently protein structure)
- Most methods : **PCR** (polymerase chain reaction) – based assays

# DNA Mutation Analysis

- Indirect screening methods :
  - ✓ HPLC (high-performance liquid chromatography)
  - ✓ Single-strand conformation polymorphism
- Exon-by-exon sequencing of genomic DNA : More expensive
- Direct sequence analysis methods : newer, more cost-effective methods
- Specimens : P.P. blood lymphocytes, other tissues (skin, liver, muscle, buccal cells, or saliva)

# Loci and genes associated with CHDs identified to date

*Deletion syndromes identified by FISH technology*

- ✓ *DiGeorge syndrome*
- ✓ *Williams–Beuren syndrome*



# DiGeorge syndrome

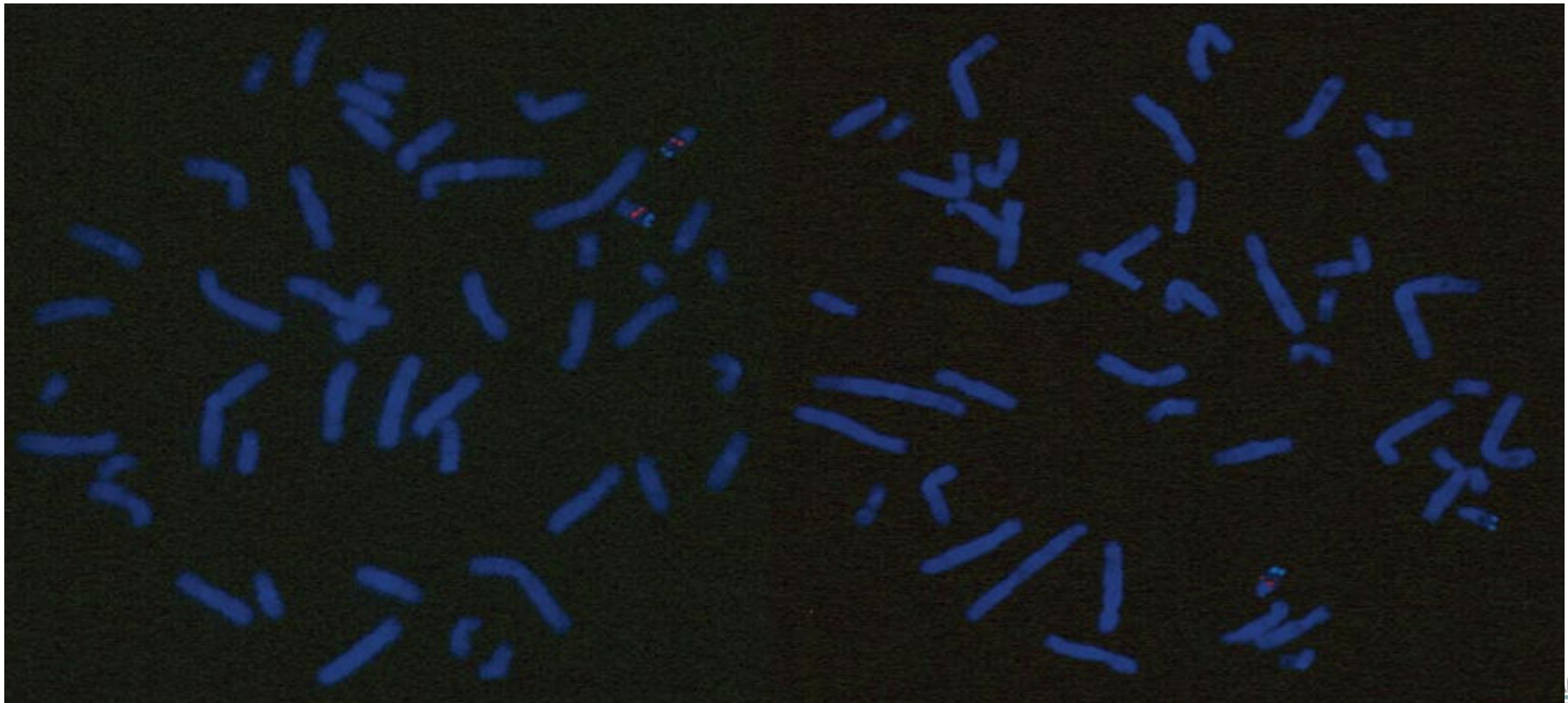
- There are many different organs affected by DiGeorge.
- Known as **Velocardiofacial syndrome**
- The CHD involve derivatives of the neural crest or second heart field (SHF), including interruption of the aortic arch (IAA), persistent truncus arteriosus (PTA), TOF, DORV and TGA.

# DiGeorge syndrome

- On FISH,  $\approx 90\%$  of patients with the DiGeorge phenotype have a microdeletion of part of 1 copy of chromosome 22.
- Prevalence : 1 per 5,950 live births
- A chromosomal deletion of 22q11 : the most common human chromosomal deletion syndrome
- Metaphase with 22q11 microdeletion

# DiGeorge syndrome

- Chromosomes 22 : the *green signals* (from an internal control probe for the ends of chromosome 22)
- The *red signals* : for sequences for gene *TUPLE1* and adjacent loci within the 22q11 region.

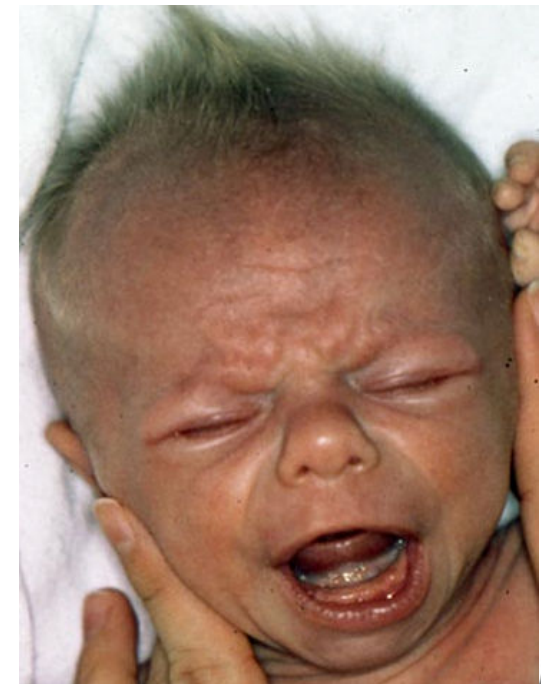


# DiGeorge syndrome and TBX1

- Evidence **in mice** suggests that **disruption of one** of the two copies of the T-box gene, **TBX1**, causes **the cardiac and pharyngeal arch anomalies** observed in 22q11 deletion syndrome.
- This provided strong evidence that **TBX1** was the gene responsible for CHD in DiGeorge syndrome.
- **TBX1 mutations** were subsequently found in DiGeorge patients that lacked the 22q11 chromosomal deletion.

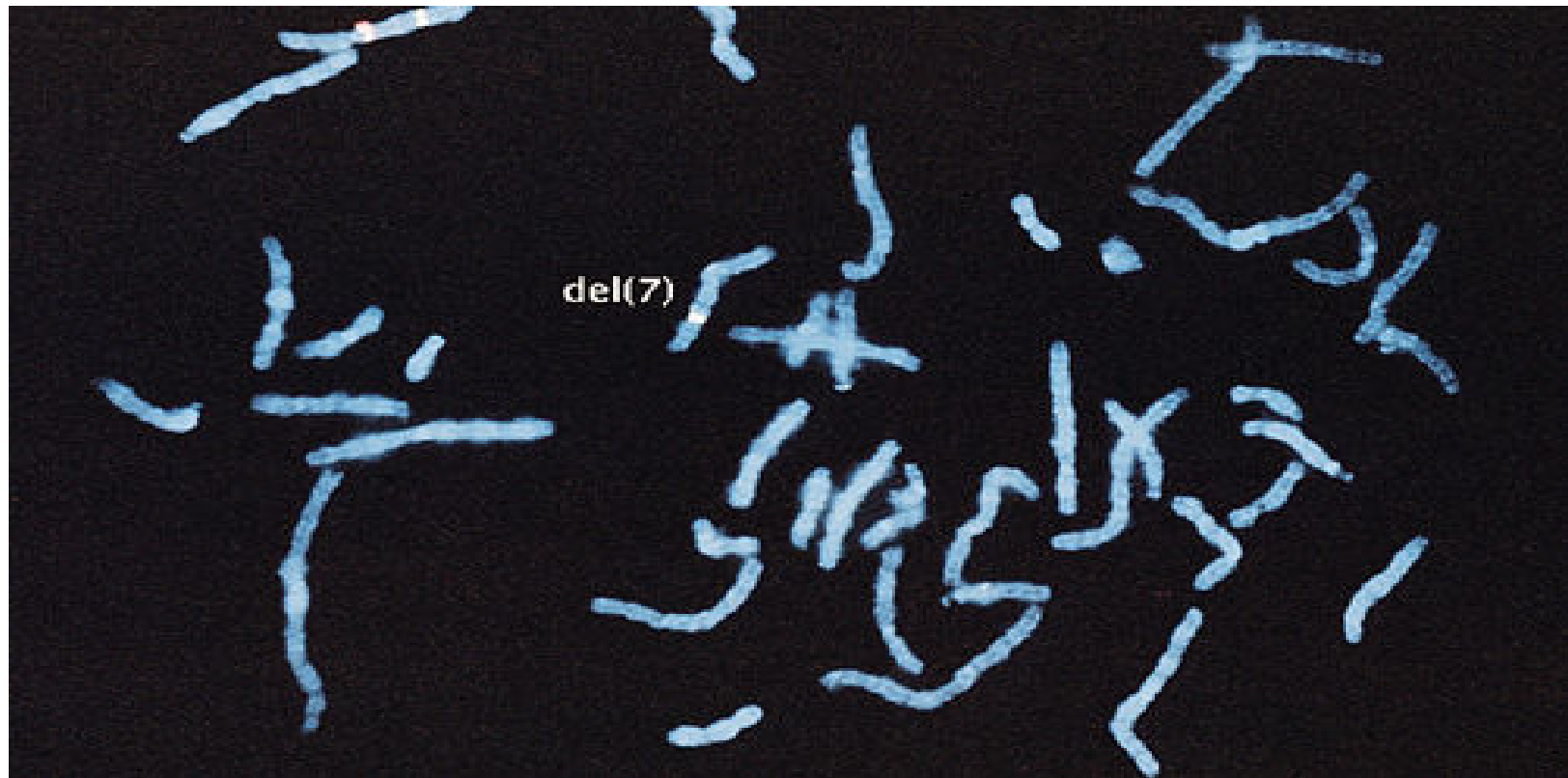
# Williams-Beuren syndrome

- **Williams syndrome** : an autosomal dominant disorder
- specific cardiovascular defects (supravalvular aortic stenosis, p.p. pulmonary stenosis..), infantile hypercalcemia, skeletal and renal anomalies, cognitive deficits, “social personality,” and elfin facies.
- Most cases arise de novo due to a chromosomal microdeletion.



# Williams-Beuren syndrome

- Approximately 90% of individuals with a clinical diagnosis of Williams syndrome : by FISH to have a microdeletion at chromosome 7q11.23



# Loci and genes associated with CHDs identified to date

## *Single-gene disorders*

- ✓ *Alagille syndrome*
- ✓ *Noonan syndrome (NS)*
- ✓ *Holt–Oram syndrome (HOS)*
- ✓ *Nonsyndromic single-gene disorders*



# Alagille syndrome

- A complex disease : characterized by liver disease (chronic cholestasis; reduced bile flow), pulmonic artery stenosis (PS), and occasionally TOF & CoA
- A subset of Alagille patients (3% to 7%) : deletions of **chromosome 20p12** detectable by karyotype or FISH analysis





# Alagille syndrome

- The **gene *JAG1***, which encodes a **Notch ligand protein product**, has been mapped into the commonly **deleted region of 20p12**.
- **Mutations of *JAG1*** : identified in patients with a broad spectrum of clinical phenotypes (including patients with a predominant cardiac phenotype)

# Alagille syndrome and the Notch pathway

- Mutations in JAGGED1 (JAG1) : a transmembrane ligand for the Notch family of receptors, are found in most cases of Alagille syndrome.
- The most recent gene linked to isolated CHD also belongs to the Notch signal transduction pathway.

# Noonan syndrome (NS)

- A genetic multiple malformation disorder : short stature, typical facial dysmorphism, webbed neck, chest deformity, and cardiovascular abnormalities
- **Cardiac involvement** : in 80% to 90% of affected individuals
- The most common of CHD : valvar pulmonic stenosis and hypertrophic cardiomyopathy



# Noonan syndrome (NS)

- Genetically heterogeneous : there are at least 3 NS disease genes, *PTPN11*, *SOS1*, and *KRAS.92*.
- With genetic linkage analysis and then positional candidacy, an NS disease gene on chromosome 12 was identified.
- It is *PTPN11*, which encodes a protein tyrosine phosphatase called SHP-2.

# Noonan syndrome (NS)

- SHP-2 : an important role in signal transduction for a wide variety of biological processes, including the [formation of the semilunar valves](#).
- Mutations in the *PTPN11* gene : in 40% to 50% of NS patients (more prevalent among familial cases and among NS patients with [pulmonary valve stenosis](#))

# The Noonan syndrome family (Cardio-Facio-Cutaneous, Costello, and Noonan)

- Three syndromes with many overlapping clinical symptoms have revealed a signal transduction pathway controlling formation of the pulmonary valve.
- Two other syndromes, Cardio-Facio-Cutaneous syndrome (CFCS) and Costello syndrome, have similar clinical features but no mutations in *PTPN11* could be linked to them.

# Holt-Oram syndrome (HOS)



- An autosomal dominant “heart-hand” syndrome : characterized by CHDs in patients with upper-limb deformities
- Occurs in approximately 1 per 100,000 individuals
- Arm and hand abnormalities : about 100% (Defects in the bones of the hand and/or arm)
- Heart abnormalities : About 75% (a heart defect such as an atrial septal defect or ventricular septal defect, abnormal heart rhythms may also be present.)

# Holt-Oram syndrome (HOS)

- Mutations in the *TBX5* transcription factor gene (chromosome 12q24.1)
- *TBX5* transcription factor : a key regulator, particularly in combination with other transcription factors such as *NKX2.5* and *GATA-4*, of gene expression during embryogenesis
- Loss of its activity markedly impairs development of the heart and limb.



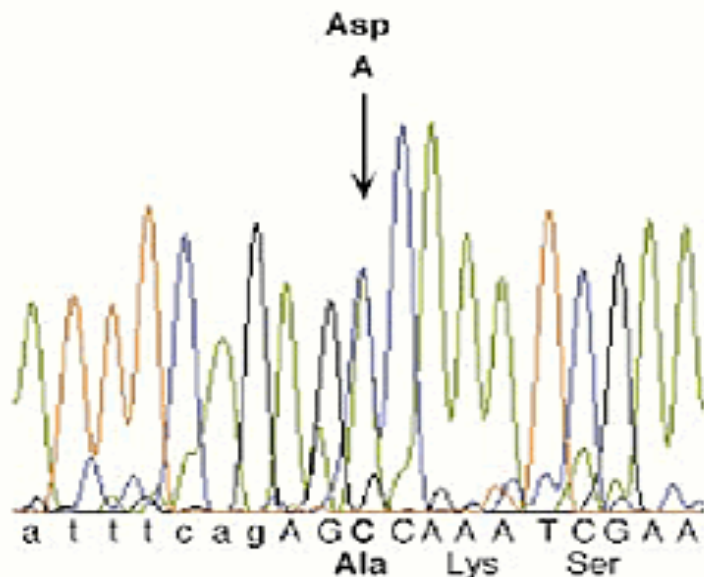
# TFAP2 $\beta$ and Char syndrome

- Char syndrome, another heart–hand syndrome like Holt–Oram syndrome, is characterized by PDA.
- TFAP2  $\beta$  was mapped to the previously described Char syndrome locus, and dominant negative proteins that impaired DNA binding of TFAP2  $\beta$  appear to cause many of the defects observed in Char syndrome. (chromosome 6p12–p21)

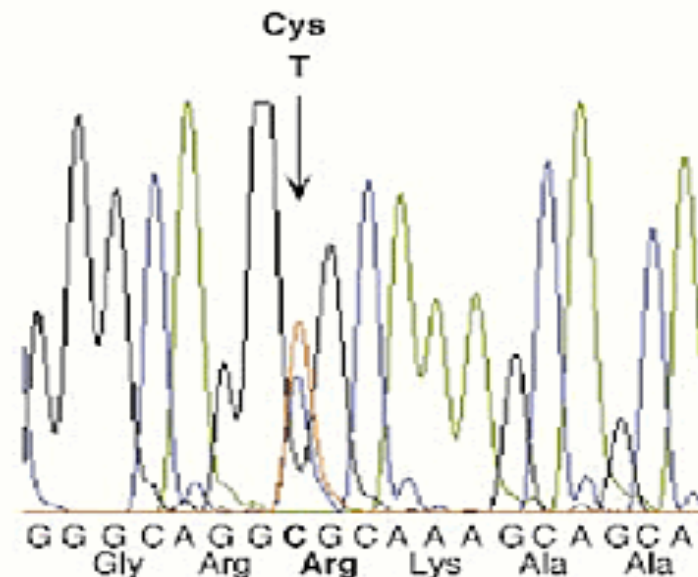
# TFAP2 $\beta$ and Char syndrome

- Direct sequence analysis of amplified DNA containing the TFAP2  $\beta$  exon 5 and flanking intronic boundaries revealed heterozygous changes in the ARK (C $\rightarrow$  A transversion) and SCOT families (C $\rightarrow$  T transition).

*a*



*b*



# Genetic etiologies of syndromic CCVM

Gene	Syndrome	Cardiac malformation
Fibrillin1/2	Marfan	<a href="#">Aortic aneurysm</a>
TGFBR2	Marfan	<a href="#">Aortic aneurysm</a>
NF1	Neurofibromatosis	<a href="#">PS</a>
NF1	Neurofibromatosis–Noonan syndrome	<a href="#">PS</a>
Elastin	William	<a href="#">SVAS</a>
TBX5	Holt–Oram	<a href="#">ASD, VSD, conduction</a>
JAG1	Alagille	<a href="#">PS, TOF</a>
NOTCH2	Alagille	<a href="#">PS, TOF</a>
ZIC3	Heterotaxy syndrome	<a href="#">d-TGA with heterotaxy</a>
CFC1	Heterotaxy syndrome	<a href="#">d-TGA with heterotaxy</a>
EVC/EVC2	Ellis–van Creveld	<a href="#">ASD</a>
TFAP2β	Char	<a href="#">PDA</a>
TBX1	DiGeorge/22q11.2 deletion syndrome	<a href="#">VSD, PTA, IAA, TOF</a>
VEGF promoter (–2578A/–1154A /–634G)	DiGeorge modifier	<a href="#">VSD, PTA, IAA, TOF</a>
PTPN11/Shp2	Noonan	<a href="#">PS</a>
MEK1 and MEK2	Cardio–Facio–Cutaneous	<a href="#">PS</a>
B-Raf	Cardio–Facio–Cutaneous	<a href="#">PS</a>
H-Ras	Costello	<a href="#">PDA, PS</a>
K-Ras	Cardio–Facio–Cutaneous	<a href="#">PS</a>
K-Ras	Noonan	<a href="#">PS</a>
CHD7	CHARGE association	<a href="#">ASD, VSD, mitral valve defects</a>
Sema3E	CHARGE association	<a href="#">ASD, VSD, mitral valve defects</a>

# Nonsyndromic single-gene disorders

- Studies have recently shown that nonsyndromic CHD can result from single-gene defects.
- The mutations were found only in affected individuals, were not present in control samples, and were demonstrated to change protein structure or function.

# Nonsyndromic single-gene disorders

- These studies identify critical molecular pathways involved in cardiovascular development and disease, given that the proteins encoded by *NKX2.5*, *GATA4*, and *TBX5* are known to interact with one another in experimental systems.

# Nonsyndromic single-gene disorders

- Many cases of nonsyndromic CHD : unlikely to result from simple single-gene disorders
- Instead, many cases of CHD are likely the result of multiple genetic alterations that increase susceptibility to CHD and interact with environmental factors.

# Genetic etiologies of non-syndromic CHD

GENE	Inheritance	Cardiac malformation
NKX2.5	Autosomal dominant	<a href="#">ASD, conduction</a>
JAG1	Partial penetrance	<a href="#">TOF</a>
GATA4	Autosomal dominant	<a href="#">VSD, ASD</a>
PROSIT240	Chromosomal translocation	<a href="#">d-TGA</a>
MYH6	Autosomal dominant, partial penetrance	<a href="#">ASD</a>
VEGF promoter (-2578A/-1154A/-634G)	Modifier gene	<a href="#">TOF</a>
NOTCH1	Autosomal dominant	<a href="#">BAV, calcification</a>

# Genetic etiologies of isolated CHD (CCVM)

- Outflow tract defects and NOTCH1
- Septal defects
  - ✓ NKX2.5
  - ✓ GATA4
  - ✓ Myosin heavy chain 6



# Outflow tract defects and NOTCH1

- A family with a history of **OFT defects** : predominately BAV and /or early-onset aortic valve calcification
- Linked to **chromosome 9q34-35**
- **Premature stop codons in the NOTCH1 gene** : found to segregate with the CCVM in this family & a second unrelated family with a similar phenotype

# Septal defects : NKX2.5

- Linkage of four families with histories of ASD & atrioventricular conduction block to mutation in NKX2.5 => the first example of single gene mutations causing non-syndromic CCVM
  - => The conduction defect may be due to progressive loss of specialized conduction cells at the atrioventricular node.
  - => Familial ASD populations may benefit from periodic electrophysiologic monitoring

# Septal defects : GATA4

- Another cause of septal defects (ASD, VSD, and AVSD) without conduction abnormalities : identified in two families that had mutations in the GATA4 transcription factor
  - a. A frameshift mutation with a premature stop codon : in non-sense mediated decay of the mRNA
  - b. A missense point mutation, Gly295Ser
- Potential protein-protein interactions based on the common phenotype observed in human with GATA4, TBX5 or NKX2.5 mutations

# Septal defects : MyHC6

- A mutation in myosin heavy chain 6 (MyHC6) can also cause ASDs.
- MyHC6 : a known target of all three–transcription factors
- Only a single kindred has been identified with **MyHC6 disruption** (binding to its myosin light chain counterpart)

# Genetic risk factors for sporadic CHD

- Most cases of CCVM : **sporadic**, no immediate family history => **multifactorial** with no single gene being totally responsible
- In part to mutation in **NOTCH1, JAGGED1, GATA4, MHC6, or TBX5** (less than 5% of CCVM)
  - ✓ VEGF promoter
  - ✓ A cautionary note : methylenetetrahydrofolate reductase (MTHFR)

# VEGF promoter

- Deletion of the 164 amino acid VEGF isoform from mice : revealed defects that **mimicked most of the DiGeorge syndrome phenotype**, including TOF.
- In the same study, **a haplotype of three SNPs in the VEGF promoter and 5'-UTR** was tentatively linked as a modifier of DiGeorge syndrome CCVM in a small cohort of patients.

# VEGF promoter

- More recent work, using transmission disequilibrium testing of the VEGF promoter haplotype found a 1.8-fold increased risk for sporadic TOF in a single cohort of approximately 250 Caucasian patients, but this has yet to be confirmed by other groups.

# A cautionary note: MTHFR

- 5,10-Methylenetetrahydrofolate reductase (MTHFR) is one of the enzymes necessary for metabolizing homocysteine into methionine.
- A common variant of MTHFR, 677C > T : present in 10–20% of Caucasians in a homozygous state and is a risk factor for defects of the neural tube.
- At the prevalence of homozygous 677C>T in sporadic cases of CCVM



# Evaluation for genetic basis in children with CHD

- Chromosome analysis and FISH testing for specific deletions : now accepted tools for the clinician
- If the clinician finds a specific chromosome abnormality
  - => provide the family with a clear explanation of the cause
  - => allow the clinician to provide appropriate counseling about recurrence or lack of recurrence
  - => prompt the physician to investigate other potential medical problems known to be associated with the particular chromosomal anomaly

# Evaluation for genetic basis in children with CHD

- Specific assessment for physical features : should focus on
  - ✓ dysmorphic facies
  - ✓ eye and ear abnormalities
  - ✓ limb reduction defects
  - ✓ polydactyly
  - ✓ other skeletal defects
  - ✓ gastrointestinal and urologic defects
  - ✓ neurological status

# Evaluation for genetic basis in children with CHD

## Genetic testing :

- ✓ determine a genetic mechanism of disease
- ✓ provides an important opportunity for genetic counseling (benefits the entire family)

Cytogenetic testing should be considered in the following situations:

1. Any infant or child with the phenotype of a recognizable chromosomal syndrome (eg, trisomy 21 or 18)

# Evaluation for genetic basis in children with CHD

2. Any infant or child with a congenital heart defect combined with
  - (a) dysmorphic features
  - (b) growth retardation that cannot be explained by the heart defect
  - (c) developmental delay or mental retardation
  - (d) multiple congenital anomalies

# Evaluation for genetic basis in children with CHD

3. Infants or children **with a family history** of **multiple miscarriages and/or siblings with birth defects**
4. If **major** cardiac and/or other visceral organ malformations are **documented by prenatal ultrasound and/or fetal echocardiogram**

# Future directions

- Become increasingly accepted that genetic variations and mutations play a major role in the development of CHD
- Implicated in syndromic and familial non-syndromic CHD (mutated in cases of sporadic CHD)
  - ✓ Candidate gene screen
  - ✓ Haplotype mapping of CCVM

# Candidate gene screen

- Over 150 genes : implicated in the cardiogenesis of model organisms (so far, and the number continues to grow)
- A clear bias for genes that act as nodal points :  
Transcription factors (NKX2.5 and GATA4), Signal transduction pathways (VEGF and NOTCH1), Translational regulators (such as the newly discovered microRNAs)

# Haplotype mapping of CCVM

- The full list of heart development genes is unknown.
- The HapMap project has identified 10,000,000 single nucleotide polymorphisms (SNPs)  
=> occur in at least 5% of the general population
- The HapMap project identified a core group of 500,000 common SNPs.
- Using this core list, one could investigate cohorts of CCVM patients and controls to determine which haplotypes occur more often in the disease state.



# Haplotype mapping of CCVM

- The incorporation of gene copy number (a potential genetic risk factor) : important
  - => It is becoming **clear that relatively** small genetic duplications or deletions may segregate with disease.
- A combination of copy number evaluation with **re-sequencing and genome-wide SNP associations** : ultimately begin to **reveal the genetic basis** for common forms of CHD.

# Future directions

- Patients with CHD require **multidisciplinary care**.
- Their families deserve **up-to-date genetic information**
  - ✓ as it relates to **their child's prognosis**
  - ✓ to **the kindred's risk** for future inheritance of genetic abnormalities associated with cardiac defects.